



DIRECTORATE OF PREVENTIVE SERVICES

MONTHLY PROGRESS REPORT

NOVEMBER 2012

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
PREVENTION DEPARTMENT							
To reduce the prevalence of corruption and economic crime	Conduct examinations to identify and seal corruption loopholes.	Examination into the Systems, Policies, Procedures and Practices of National Cereals & Produce Board.	5th April 2011	December 2012	On-going	The draft Examination Report was reviewed by the Ag. Secretary/ CEO and forwarded to the Board for comments.	Prevention
		Follow up on the implementation of the examination report recommendations into the systems , policies, procedures and practices of Civil Registration Department	March 2012	November 2012	On-going	The follow up report is being reviewed	Prevention
		Examination into the Payroll Management system of the Judiciary	22 nd August 2012	November 2012	Ongoing	Preparation of the draft report is going on	Prevention
		Examination on the disbursement and utilization of FPE.	October 2012	January 2013	On-going	The Examination Team interviewed the Education Secretary, Director of Quality	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						Assurance & Standards, Officers in the Office of Director of Basic Education, Principal Accountant and Head of ICT. The Team also visited Kisumu and Nyamira counties and interviewed the county directors of education; DEOs, District Schools Auditors, District Quality Assurance & Standards Officers and TSC units in sampled districts. The Team also visited 7 primary schools on a random sample basis.	
Enhance strategic partnerships	Coordination of implementation of Corruption Eradication Criterion under the performance contracts	<ul style="list-style-type: none"> Assessment of implementation of anti-corruption sub-indicators under the Performance Contracts in Western Nyanza Regions Provision of 	5 th November 2012	28 th November 2012	completed	The Programme covered fifteen (15) institutions which were not assessed in the last FY by EACC. The gaps identified during the exercise informed	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
		<p>Advisory and technical support to institution on corruption risk mapping & management</p> <ul style="list-style-type: none"> • Development of Action plans <p>Vetting the 2012/13 Performance Contracts- Corruption Eradication category and Advisory on implementation of the sub-indicators</p>				<p>preparations of institutional Action Plans ; Institutions are required to implement the Action Plans and submit their reports to EACC by the end of the 2nd quarter (reporting period; 31st January 2013); There is need for regular visits (on quarterly basis) to track implementation and ensure that institutions are implementing the Programme as required). The Programme covered a total of 6 new institutions and 6 Local Authorities and State Corporations which had been vetted in August 2012 but had outstanding issues that needed to be addressed before their Contracts could be ratified.</p>	

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						<p>The exercise entailed:</p> <ul style="list-style-type: none"> ▪ Vetting and ratification of the Corruption Eradication sub-indicators ▪ Advisory on implementation of the new sub-indicators under the anti-corruption category <p>The Department also continued to provide advisory to the institutions through the feedback reports and meetings with officials from public institutions.</p>	
	Implementation of EACC/NGO Council programmes	Review of the Rules and Regulations and the Code of Conduct for the NGO Council- 1 st consultative meeting held with the NGO Council	12 th September 2012	February 2013	On-going	<p>The team is currently compiling the proposals for incorporation into the revised document</p> <p>The meeting planned for in November with</p>	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						officials of the NGO Council to discuss the 1 st draft was not held. It is expected the meeting will be held in December 2012	
	Implementation of EACC/APSEA programmes	Development and review of Codes of Conduct and Ethics; for the following; Kenya Institute of Supplies Management; (KISM) Kenya Institute of Bankers (KIB) and Kenya Society for Agricultural Professionals (KESAP)	July 2012	December 2012	Report completed and sent to the Council.	The Code for KISM was finalized and launched on 16 th November. EACC forwarded final proposals on the draft Codes of conduct and Ethics of the KIB and KESAP. The institutions are expected to address the issues raised and finalize the Codes by December 2012.	Prevention
	Development of ISO on Records Management TC 46 Technical Committee on Information Science Service	1) Final Review of Documents by TC Task members for adoption in Kenya a) ISO DK 5239 Electronic signature Metadata requirements b) ISO 13008201 Information and Documentation	March 2012 8 th to 22 November 2012	November 2012	On-going On going	The follow up report is being reviewed To be finalized in the next meeting to be called in the first quarter of 2013 After subjecting the codes to public	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
		Digital Records Conversion and migration 2) Discussions on the proposed codes for Principal administrative Division of Kenya				review.	
Enhance capacity for corruption prevention in public, private and civil society sectors	Conduct Capacity building programmes in public sector institutions to detect and prevent corruption	Training of corruption prevention committee of Nairobi Water and Sewerage Company	7 th November 2012	7 th November 2012	Implemented	12 CPC members trained on corruption prevention and detection mainstreaming of anti-corruption strategies in the institution. Members sensitized on performance contracting corruption eradication indicator	Prevention
		Training of Integrity Assurance Officers for Eastern Region			Implemented	28 Public Officers drawn from 16 Public Sector Institutions in Nairobi, trained as Integrity Assurance Officers. The officers were equipped with technical skills to enable them provide the	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						technical support in mainstreaming anti-corruption strategies within their institutions. The trained officers will take up the role of secretaries to their institutional Corruption Prevention Committees.	
		Training of corruption prevention committee for Eastern Region	12 th November 2012	17 th November 2012	Implemented	28 Public Officers drawn from 16 Public Sector Institutions in Nairobi, trained as Integrity Assurance Officers. The officers were equipped with technical skills to enable them provide the technical support in mainstreaming anti-corruption strategies within their institutions. The trained officers will take up the role of secretaries to their institutional Corruption	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						Prevention Committees.	
		Training of corruption prevention committee Coffee Board of Kenya	19 th November 2012	19 th November, 2012	Implemented	20 Officers from 12 comprising of HOD's and Senior Officers trained on corruption prevention and detection mainstreaming of anti-corruption strategies in their institutions. Members sensitized on performance contracting corruption eradication indicator	Prevention
		Capacity Building Workshop for National Social Security Fund	28 th November 2012	28 th November 2013	Implemented.	12 middle level managers taken through Corruption Risk Assessment and Management- Officers equipped with skills to enable them carry out a corruption risk assessment and prepare a corruption prevention plan for their institution	Prevention
		Training of corruption				16 Senior Officers	

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
		prevention committee Ministry of Fisheries Development				trained on corruption prevention and detection mainstreaming of anti-corruption strategies in the institution. Members sensitized on performance contracting corruption eradication indicator	
	Other Programmes	Evaluation of City Council of Nairobi - 9 th wave of the 100 days Rapid Results Initiative-Stakeholder participation	27 th November 2012	December 2012	On going	22 out of 35 Departments and Divisions were evaluated. The participation of the external stakeholders brings in objectivity to the process. The proposals from the exercise will inform the review of the RRI Programme and is expected to enhance implementation of the 10 th wave of the RRI which will be launched in the	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						3 rd quarter of the FY	
		Develop Staff Skills and other Competences. Attended Training on Crime Prevention at The Kenya School of Government	12 th November 2012	16 th November 2012	Implemented	Compilation of the Training Report	Prevention

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
EDUCATION DEPARTMENT							
Intensify anti-corruption, public education and awareness.	Develop Relevant IEC Materials	Re-develop three (3) IEC Materials and develop two (2) new ones reflecting the new developments in the integrity and anti-corruption regime as well as the transitional changes at the Commission.	July 2012	June 2013	Ongoing	Completed a five day retreat in Naivasha from 7 th to 12 th October 2012 that reviewed and developed the Commission's Information, Education and Communication (IEC) materials. Three IEC materials namely, Frequently asked Questions FAQs), Anti-Corruption and Economic Crimes Act (ACECA) and Public Officer Ethics Act (POEA) were reviewed and adjustments made to align them with jurisprudence and recent changes in the legal regime. A new simplified version of the Ethics and Anti-Corruption Act (EACA) was developed.	Education
Enhance capacity for corruption prevention in public,	Training of Program Officers and Analysts under the Integrity	Training of Program Officers and Analysts under the Integrity Testing Program (ITP)	13 th November 2012	13 th November 2012	Completed	A representative of the Department made presentation on the Concept of Corruption at the 6 th Workshop for the	Education

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
private and civil society sectors	Testing Program (ITP)					Training of ITP's Program Officers and Analysts	
	Sensitization Training for Civil Aviation Authority's Staff	Sensitization Training for Civil Aviation Authority's Staff	November 2012	February 2012	Ongoing	Members of the department participated in a two day sensitization training in Malindi.	Education
		Sensitization of District Registrars at KSMS	22 nd November 2012	22 nd November 2012	Completed	A total of 130 District Registrars were sensitized on Integrity and Anti-Corruption.	Education
	Develop capacity of professionals to prevent the occurrence of corruption	Make presentation at the workshop on the Enforcement of the Leadership and Integrity Provisions under the Constitution of Kenya 2010	7 th November 2012	9 th November 2012	Completed	Made a presentation on Preventing Corruption through Sound Leadership at a Commission supported workshop on the Enforcement of the Leadership and Integrity Provisions under the Constitution of Kenya 2010.	Education
	Facilitating training of Catholic Justice and Peace Promoters of Kisii Diocese	Participants trained ethics/Anti-Corruption, leadership and good governance	19 th November	23 rd November	Completed	A total of 40 members of the Catholic Justice and Peace Promoters were trained on ethics/anti-corruption, leadership and good governance	Education
Promote ethics, integrity and corruption intolerance in public,	Development of Curriculum Support Materials	Reviewing of the existing Curriculum Support Materials for reproduction.	1 st October, 2012	30 th November, 2012	Completed	Review of the four curriculum support materials is complete and following up the procurement process of the printing exercise.	Education

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
private and civil society sectors	Develop anti-corruption curriculum for learning and training institutions	Print the Curriculum for the Establishment of Integrity Clubs in Learning Institutions.	1 st October 2012	30 th November 2012	Completed	A proposal, Specifications and Terms of Reference developed and approved. The proposal is in the approval process.	Education
	Review and edit curriculum support materials	Print curriculum support materials	October	30 th November	Completed	Approved proposal forwarded to procurement. Department is liaising with procurement for identification of service providers	Education
	Printing of Integrity Club T-Shirts	Develop specifications for production of Adili Club T-Shirts.	1 st November 2012	30 th November	Completed	The proposal has been approved and is at the procurement stage.	Education
	Enhance capacity for corruption prevention in public, private and civil society sectors	Wealth Declaration	October	January, 2013	Ongoing	The 4 th Quarter of the project implementation in three regions namely; Kitui, Kabarnet and Kajiado was concluded. Once again the Wealth Declaration sensitization team adopted a multi-sectoral approach and partnered with Kenya Integrity Forum to roll-out the sensitization programmes. The topic on Wealth Declaration as tool to restore transparency, accountability and Integrity in the Public Sector was among the presentations made	Education

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						during the Forums. Each forum attracted at least 200 people in each county.	
	Other activities	Representation of the Commission at the Kenya-Japan Business Environment Task Force.	26 th November 2012	26 th November 2012	Completed	A member of the Department represented the Commission at the second Kenya-Japan Business Environment Task Force meeting. These meetings are convened by the Ministry of Trade to bring together Japanese business people with key Kenya Government Ministries, Departments and Agencies (MDAs) such as Internal Security, Immigration, Finance and KRA to discuss concerns such as security, work permits, domestic taxation and corruption prevention. The representative from the Commission encouraged Japanese business to support the war against corruption in Kenya by reporting any acts of corruption that they may encounter.	Education
		EACC Annual Report 2011-2012	November 2012	November 2012	Completed	A member of the Department participated in the design and printing of the	Education

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						Commission's Annual Report.	
	Department Meeting	Department of Education Staff Meeting	23 rd November 2012	23 rd November 2012	Completed	The Department of Education held its monthly meeting for November to discuss and review Work Plans and programs implementation.	Education
	Supported KIF in preparations of international	Meet service providers and make advance preparations in Nyeri County for International Anti-corruption Day preparations	26 th November	7 th December	Ongoing	Preparations have been made for IAD in Nyeri County. Members of staff will travel to Nyeri on 4 th December.	Education
	Administrative duties at the report Centre	Clearance letters issued to political aspirants and those seeking public office	16 th Oct 2012	15 th Nov 2012	Completed	The members of the Department assisted in data entry and issuance of certificates of political aspirants	Education
	Preparing report of FIED programmes and activities between February 2005- November 2012	Hand over report for Principal Officer, Education	7 th Nov 2012	19 th Nov 2012	Completed	Report completed and submitted to former Principal Officer- Education for handover	Education
Transitional Technical Committee		November 2012	June 2013	Ongoing	A member of the Department is participating in the Commission's Transitional Technical Committee.	Education	
Representation of the Commission at the Steering Committee Meeting of the National Anti-Corruption Campaign Steering		9 th November 2012	9 th November 2012	Completed	A member of the Department represented the Commission at NACCSC meeting on 9 th November at which their work plans were	Education	

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
		Committee (NACCSC).				assessed and reviewed. The Commission is a key member of the NACCSC Board.	

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)	
RESEARCH AND POLICY DEPARTMENT								
1. Promote targeted and integrated research on corruption & governance	1. Conduct National Survey on Corruption and Ethics	1. Undertake the 2012 National Survey on Corruption and Ethics	August 2012	December 2012	Ongoing	<ul style="list-style-type: none"> Data collection complete Data editing and entry is ongoing 	Research	
	2. Carry out thematic and sectoral research study	1. Undertake thematic research focusing on the Land Sector	1. Undertake thematic research focusing on the Land Sector	Dec 2010	December 2012	Ongoing	<ul style="list-style-type: none"> Incorporating final comments Printing procurement process approved and printing to start 	Research
		5. Undertake a study of corruption in the Judicial sector	5. Undertake a study of corruption in the Judicial sector	May 2012	December 2012	Ongoing	<ul style="list-style-type: none"> Report writing ongoing 	Research
		6. Undertake a baseline survey on corruption and ethics indicators	6. Undertake a baseline survey on corruption and ethics indicators	Oct 2011	December 2012	Ongoing	<ul style="list-style-type: none"> A draft report circulated to staff for their comments 	Research
		8. Undertake a policy review of Public procurement	8. Undertake a policy review of Public procurement	Nov 2012	March 2013	Ongoing	<ul style="list-style-type: none"> Concept note is being developed 	Research
2. Institutionalize a monitoring and evaluation system	1. Develop and operationalize a monitoring and evaluation system	1. Develop a monitoring and evaluation framework	July 2010	November 2012	Ongoing	<ul style="list-style-type: none"> Draft Report developed 	Research	
		2. Undertake quarterly monitoring of the Strategic Plan	Nov 2012	December 2012	Ongoing	<ul style="list-style-type: none"> Reports received from 9 departments and 7 awaited 	Research	
		3. Evaluate the implementation status of the IAO Training	March 2011	November 2012	Ongoing	<ul style="list-style-type: none"> Comments from Prevention Department being 	Research	

Strategic Objective	Activity	Specific Task/Inputs/Sub activity	Start Date	Expected Completion	Current Status	Status as at 30 th November 2012	Responsible officer (s)
						integrated in the report	
	2. Undertake Program Evaluations	1. Monitoring & Evaluation of IEC materials	June 2011	December 2012	Ongoing	• Report forwarded to the Education Department for comments	Research
	3. Formulation of Strategic Plan 2013-2017	1. Review of the EACC Strategic Plan (2008-2013) 2. Framework for the Development of Strategic Plan (2013-2017)	November 2012	May 2013	Ongoing	• A proposal developed for review and comments by the POR	Research
	Others	Vetting of political aspirants – Administration of the Self Declaration Form contained in the Leadership and integrity ACT 2012	5 th Dec 2012	December 2012	Ongoing	Issuance and acceptance of the self-declaration forms for political aspirants	Research

Strategic Objective	Activities	Specific tasks/inputs/sub-activities	Start Date	Expected Completion	Current status	Status Remarks as at November 30, 2012	Responsible Officer (s)
THE KENYA INTEGRITY FORUM							
Strengthen partnership, coalitions and networks against corruption	1. Sectoral NACP Progress Reports and Work Plan Meetings	Preparation of Sector Work Plan Reports 2012/2013	July 2012	October 2012	Complete	•Sectors are implementing their Work plans	KIF
		Preparation of Sector Progress Implementation Reports 2011/2012	July 2012	October 2012	Ongoing	•All the sectors have compiled their draft sector progress reports •9 Sectors have presented their Drafts NACP Progress reports to the public during the 3 Regional Integrity Review Forums	KIF
		NACP Progress Report, 2010 - 2011	2011/2012	October 2012	On-going	• Submitted to the printer	
	2. Implement NACP Coordinating committee decisions	Organize Coordinating Committee meetings	Quarterly meetings	June 2013	Ongoing	• 34 th NACP Coordinating Committee held on 1 st November 2012	KIF
	3. Regional Integrity Review Forums	Regional Integrity Review Forum Report 2012/2013	July 2012	June 2013	On-going	•Held 3 Regional Integrity Review Forums in Kitui, Baringo and Kajiado •Two Forums scheduled for Muranga and Nanyuki in the 3 rd Quarter	KIF
4. National Anti-Corruption Plan	Review of National Anti-Corruption Plan	July 2012	June 2013	Ongoing	•Collected data during Regional Integrity Review Forums	KIF	

Strategic Objective	Activities	Specific tasks/inputs/sub-activities	Start Date	Expected Completion	Current status	Status Remarks as at November 30, 2012	Responsible Officer (s)
						<ul style="list-style-type: none"> Data analysis in the 3rd Quarter 	
	5. International Anti-Corruption Day commemoration	Host the commemoration	July 2012	December 2012		<ul style="list-style-type: none"> Held preparation meetings for the International Anti-Corruption day commemoration Draft newspaper supplement, advert and radio promotion Procured Dedan Kimathi gardens, Nyeri for the commemoration 	
	6. Others	1. Ethics and Anti-Corruption Commission Annual Report 2011/2012	August 2012	October 2012		<ul style="list-style-type: none"> Held one meeting The Report has been printed and dissemination is on-going 	KIF
		2. Review of EACC Governance Manual		October 2012		<ul style="list-style-type: none"> Member of Review of Governance Manual committee Review of the manual Held meeting on 19 October 2012 	KIF
		3. Technical Evaluation: Wealth Declaration mapping and design of a system	October 2012	October 2012		<ul style="list-style-type: none"> Chairman of technical evaluation committee Presented Technical and Financial Evaluation Report to the Tender Committee 	KIF
		4. EACC Transitional Committee	October 2012			<ul style="list-style-type: none"> Member of EACC Transitional committee 	KIF

Strategic Objective	Activities	Specific tasks/inputs/sub-activities	Start Date	Expected Completion	Current status	Status Remarks as at November 30, 2012	Responsible Officer (s)
						• Held five meetings	